

1 **Palaeoclimate constraints on a world with post-industrial warming of 2 degrees**
2 **and beyond**

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4 **Hubertus Fischer** (*Climate and Environmental Physics, Physics Institute, and Oeschger Centre for*
5 *Climate Change Research, University of Bern, Bern, Switzerland*), **Katrin J. Meissner** (*Climate*
6 *Change Research Centre, University of New South Wales Sydney and ARC Centre of Excellence for*
7 *Climate System Science, Sydney, Australia*), **Alan C. Mix** (*College of Earth, Ocean, and*
8 *Atmospheric Sciences, Oregon State University, Corvallis, United States*), **Nerilie J. Abram**
9 (*Research School of Earth Sciences, The Australian National University and ARC Centre of*
10 *Excellence for Climate Extremes, Canberra, Australia*), **Jacqueline Austermann** (*Bullard*
11 *Laboratories, Department of Earth Sciences, University of Cambridge, Cambridge, United*
12 *Kingdom*), **Victor Brovkin** (*Max Planck Institute for Meteorology, Hamburg, Germany*), **Emilie**
13 **Capron** (*Centre for Ice and Climate, Niels Bohr Institute, University of Copenhagen, Copenhagen,*
14 *Denmark and British Antarctic Survey, Cambridge, United Kingdom*), **Daniele Colombaroli** (*Centre*
15 *for Quaternary Research (CQR), Department of Geography, Royal Holloway University of London*
16 *(RHUL), Egham, Surrey TW20 0EX, UK, Institute of Plant Sciences, Oeschger Centre for Climate*
17 *Change Research, University of Bern, Bern, Switzerland and Limnology Unit, Department of*
18 *Biology, Ghent University, Ghent, Belgium*), **Anne-Laure Daniau** (*Environnements et*
19 *Paléoenvironnements Océaniques et Continentaux, CNRS, Université de Bordeaux, Pessac, France*),
20 **Kelsey A. Dyez** (*Lamont-Doherty Earth Observatory, Columbia University, Palisades, United*
21 *States*), **Thomas Felis** (*MARUM - Center for Marine Environmental Sciences, University of Bremen,*
22 *Bremen, Germany*), **Sarah A. Finkelstein** (*Department of Earth Sciences, University of Toronto,*
23 *Toronto, Canada*), **Samuel L. Jaccard** (*Institute of Geological Sciences and Oeschger Centre for*
24 *Climate Change Research, University of Bern, Bern, Switzerland*), **Erin L. McClymont**
25 (*Department of Geography, Durham University, Durham, United Kingdom*), **Alessio Rovere**

26 (MARUM - Center for Marine and Environmental Sciences, University of Bremen, and Leibniz
27 Center for Tropical Marine Ecology, Bremen, Germany), **Johannes Sutter** (Alfred Wegener
28 Institute, Helmholtz Centre for Polar and Marine Research, Bremerhaven, Germany), **Eric W.**
29 **Wolff** (Department of Earth Sciences, University of Cambridge, Cambridge, United Kingdom),
30 **Stéphane Affolter** (Climate and Environmental Physics, Physics Institute and Oeschger Centre for
31 Climate Change Research, University of Bern, Bern, Switzerland, International Foundation High
32 Altitude Research Stations Jungfrauoch and Gornergrat, Bern, Switzerland), **Pepijn Bakker**
33 (MARUM - Center for Marine and Environmental Sciences, University of Bremen, Bremen,
34 Germany), **Juan Antonio Ballesteros-Cánovas** (Institute for Environmental Sciences and Dendrolab,
35 Department of Earth Sciences, University of Geneva, Switzerland), **Carlo Barbante** (Institute for the
36 Dynamics of Environmental Processes - CNR, University Ca'Foscari of Venice, Venice, Italy),
37 **Thibaut Caley** (Environnements et Paléoenvironnements Océaniques et Continentaux, CNRS,
38 Université de Bordeaux, Pessac, France), **Anders E. Carlson** (College of Earth, Ocean, and
39 Atmospheric Sciences, Oregon State University, Corvallis, United States), **Olga Churakova**
40 (Sidorova) (Institute for Environmental Sciences, University of Geneva, Geneva, Switzerland,
41 Siberian Federal University, Institute of Ecology and Geography, Krasnoyarsk, Russia), **Giuseppe**
42 **Cortese** (GNS Science, Lower Hutt, New Zealand), **Brian F. Cumming** (Department of Biology,
43 Queen's University, Kingston, Canada), **Basil A. S. Davis** (Institute of Earth Surface Dynamics,
44 University of Lausanne, Lausanne, Switzerland), **Anne de Vernal** (Centre de recherche en
45 géochimie et géodynamique, Université du Québec à Montréal, Montréal, Canada), **Julien Emile-**
46 **Geay** (Department of Earth Sciences, University of Southern California, Los Angeles, United
47 States), **Sherilyn C. Fritz** (Department of Earth and Atmospheric Sciences, University of Nebraska-
48 Lincoln, Lincoln, USA), **Paul Gierz** (Alfred Wegener Institute, Helmholtz Centre for Polar and
49 Marine Research, Bremerhaven, Germany), **Julia Gottschalk** (Institute of Geological Sciences,
50 Oeschger Centre for Climate Change Research, University of Bern, Bern, Switzerland), **Max D.**

51 **Holloway** (*Ice Dynamics and Paleoclimate, British Antarctic Survey, Cambridge, United Kingdom*),
52 **Fortunat Joos** (*Climate and Environmental Physics, Physics Institute, and Oeschger Centre for*
53 *Climate Change Research, University of Bern, Bern, Switzerland*), **Michal Kucera** (*MARUM -*
54 *Center for Marine and Environmental Sciences, University of Bremen, Bremen, Germany*), **Marie-**
55 **France Loutre** (*Past Global Changes (PAGES), Bern, Switzerland*), **Daniel J. Lunt** (*School of*
56 *Geographical Sciences and Cabot Institute, University of Bristol, Bristol, United Kingdom*),
57 **Katarzyna Marcisz** (*Laboratory of Wetland Ecology and Monitoring, Department of Biogeography*
58 *and Palaeoecology, Faculty of Geographical and Geological Sciences, Adam Mickiewicz University,*
59 *Poznań, Poland and Institute of Plant Sciences, Oeschger Centre for Climate Change Research,*
60 *University of Bern, Bern, Switzerland*), **Jennifer R. Marlon** (*School of Forestry and Environmental*
61 *Studies, Yale University, New Haven, United States*), **Philippe Martinez** (*Université de Bordeaux,*
62 *Pessac, France*), **Valerie Masson-Delmotte** (*Laboratoire des Sciences du Climat et de*
63 *l'Environnement, Gif-sur-Yvette cedex, France*), **Christoph Nehrbass-Ahles** (*Climate and*
64 *Environmental Physics, Physics Institute, and Oeschger Centre for Climate Change Research,*
65 *University of Bern, Bern, Switzerland*), **Bette L. Otto-Bliesner** (*Climate and Global Dynamics*
66 *Laboratory, National Center for Atmospheric Research, Boulder, United States*), **Christoph C.**
67 **Raible** (*Climate and Environmental Physics, Physics Institute, and Oeschger Centre for Climate*
68 *Change Research, University of Bern, Bern, Switzerland*), **Björg Risebrobakken** (*Uni Research*
69 *Climate, Bjerknes Centre for Climate Research, Bergen, Norway*), **María F. Sánchez Goñi** (*École*
70 *Pratique des Hautes Études, PSL Research University and Université de Bordeaux, Pessac, France*),
71 **Jennifer Saleem Arrigo** (*United States Global Change Research Program, National Coordination*
72 *Office, USA*), **Michael Sarnthein** (*Institute for Geosciences, University of Kiel, Kiel, Germany*),
73 **Jesper Sjolte** (*Quaternary Sciences, Department of Geology, Lund University, Lund, Sweden*),
74 **Thomas F. Stocker** (*Climate and Environmental Physics, Physics Institute, University of Bern,*
75 *Bern, Switzerland*), **Patricio A. Velasquez Álvarez** (*Physics Institute, University of Bern,*

76 *Switzerland*), **Willy Tinner** (*Institute of Plant Sciences, Oeschger Centre for Climate Change*
77 *Research, University of Bern, Bern, Switzerland*), **Paul J. Valdes** (*School of Geographical Sciences,*
78 *University of Bristol, United Kingdom*), **Hendrik Vogel** (*Institute of Geological Sciences, Oeschger*
79 *Centre for Climate Change Research, University of Bern, Bern, Switzerland*), **Heinz Wanner**
80 (*Oeschger Centre for Climate Change Research, University of Bern, Bern, Switzerland*), **Qing Yan**
81 (*Nansen-Zhu International Research Centre, Institute of Atmospheric Physics, Chinese Academy of*
82 *Sciences, Beijing, China*), **Zicheng Yu** (*Department of Earth and Environmental Sciences, Lehigh*
83 *University, Bethlehem, United States*), **Martin Ziegler** (*Department of Earth Sciences, Faculty of*
84 *Geosciences, Utrecht University, Utrecht, The Netherlands and Geological Institute, ETH Zürich,*
85 *Zürich, Switzerland*), **Liping Zhou** (*Laboratory for Earth Surface Processes, Department of*
86 *Geography, and Institute of Ocean Research, Peking University, Beijing, China*)
87

88 **Abstract:**

89 Over the past 3.5 million years, there have been several intervals when climate conditions were
90 warmer than during the preindustrial Holocene. Although past intervals of warming were forced
91 differently than future anthropogenic change, such periods can provide insights into potential future
92 climate impacts and ecosystem feedbacks, especially over centennial to millennial timescales that are
93 often not covered by climate model simulations. Our observation based synthesis of the
94 understanding of past intervals with temperatures within the range of projected future warming
95 suggests that there is a low risk of runaway greenhouse gas feedbacks for global warming of no more
96 than 2°C. However, substantial regional environmental impacts can occur. A global average
97 warming of 1-2°C with strong polar amplification has, in the past, been accompanied by significant
98 shifts in climate zones and the spatial distribution of land and ocean ecosystems. Sustained warming
99 at this level has also led to substantial reductions of the Greenland and Antarctic ice sheets, with sea-
100 level increases of at least several meters on millennial time scales. Comparison of paleo observations
101 with climate model results suggests that, due to the lack of certain feedback processes, model based
102 climate projections may underestimate long-term warming in response to future radiative forcing by
103 as much as a factor of two, and thus may also underestimate centennial to millennial-scale sea level
104 rise.

105

106

107 **1. Past warm intervals as benchmarks for future environmental changes**

108

109 Depending on the choice of future carbon emission scenarios, projected global surface air
110 temperature changes for the end of this century relative to preindustrial conditions (defined here as
111 average conditions from 1850-1900 AD¹) range from 1.6°C (0.9°C to 2.4°C, 5-95% confidence
112 interval, RCP2.6) to 4.3°C (3.2°C to 5.5°C, 5-95% confidence interval, RCP8.5²). Models project
113 substantially higher warming at high latitudes with Arctic temperature changes being amplified in
114 simulations by a factor of 2 to 3, implying future warming of ~3°C (RCP2.6) to ~12°C (RCP8.5) in
115 these regions. Moreover, in most areas, the warming is projected to be greater over land than over
116 the ocean.

117

118 Even if future emissions are reduced, warming will continue beyond 2100 for centuries or even
119 millennia because of the long-term feedbacks related to ice loss and the carbon cycle^{3,4}. Given
120 concern about these impacts, the Paris agreement proposes reducing emissions to limit global
121 average warming to below 2°C and pursue efforts to limit it to 1.5°C, effectively defining a climate
122 “defense line”⁵. Although this guardrail concept is useful, it is appropriate to ask whether the global
123 limits proposed in the Paris COP-21 Climate Agreement really constitute a safe operating space for
124 humanity⁶ on our complex planet.

125

126 Many state-of-the-art climate models may underestimate both rates and extents of changes observed
127 in paleo data⁷. Models are calibrated based on recent observations, simplifying some processes (e.g.,
128 the representation of clouds and aerosols) or neglecting processes important on long timescales under
129 significantly warmer boundary conditions (e.g., ice sheet dynamics or carbon cycle feedbacks). This
130 lack of potentially important feedback mechanisms in climate models underscores the importance of
131 exploring warm climate intervals in Earth’s history. Understanding these past intervals may

132 illuminate feedback mechanisms that set long-term climate and Earth System sensitivity, enabling an
133 assessment of possible impacts of warming on physical, biological, chemical, and ecosystem services
134 upon which humanity depends.

135

136 Examples of such warmer conditions with essentially modern geographies can be found in Figure 1
137 during the Holocene Thermal Maximum (HTM) and the Last Interglacial (LIG; ~129-116 kyr before
138 present (BP), where present is defined as 1950). Here, the HTM is broadly defined as a period of
139 generally warmer conditions in the time range 11-5 kyr BP, which, however, were not synchronous
140 in their spatio-temporal expression. The LIG can also be compared to the warmer peak interglacial
141 Marine Isotope Stage (MIS) 11.3 (~410-400 kyr BP) where climate reconstructions exist. Note that
142 these times of peak warmth were associated with different orbital parameters, thus different spatial
143 and seasonal distribution of solar insolation, while their greenhouse concentrations were close to
144 preindustrial levels and their temperatures, although within the projected range of anthropogenic
145 warming for the near future, have been controlled by a different blend of forcing mechanisms (see
146 Section 2). Accordingly, past interglacials can be thought of as a series of natural experiments
147 characterized by different combinations of climate boundary conditions⁸. Although they are not strict
148 analogs for future warming, these past warm intervals do illustrate the regional climate and
149 environmental response that may be triggered in the future, and thus remain useful as an
150 observational constraint on projections of future impacts.

151

152 The HTM is amenable to detailed reconstruction based on availability of data and more refined
153 approaches to chronology, but the older interglacial intervals illustrate greater warming and impacts.
154 To examine past climates with greenhouse gas concentrations of >450 ppm (as expected for the
155 RCP2.6), we must look farther back in time, to at least 3 Myr BP (Mid Pliocene Warm Period,
156 MPWP, 3.3-3.0 Ma) when atmospheric CO₂ was between 300 and 450 ppm⁹ (Figure 1) and warm

157 conditions lasted long enough to approach equilibrium. Older intervals, such as the Early Eocene
158 Climatic Optimum (EECO, ~53-51 Ma) offer an opportunity to study extremely high-CO₂ scenarios
159 (900-1900 ppm) that are comparable with the fossil-fuel intensive RCP 8.5² scenario¹⁰ (>1200 ppm),
160 however, these older intervals had continental configurations significantly different from today.

161

162 Paleo evidence over the last 2000 yr and during the Last Glacial Maximum (LGM) was discussed in
163 detail in the 5th Assessment Report of the Intergovernmental Panel for Climate Change (IPCC)².
164 Here we focus on the climate system responses during the three best-documented warm intervals
165 HTM, LIG, and MPWP (Figure 1) and address spatial patterns of environmental changes and the
166 forcing leading to them. Observations on the spatial temperature expression of these warm periods
167 and their forcing are presented in Box 1, which also includes a discussion of the limitations of these
168 time intervals as first-order analogs for future global and regional warming. Paleo evidence on the
169 Earth System response to these warmer conditions is reviewed in Section 2 (summarized in Figure
170 3). Section 3 discusses potential feedbacks and thresholds in the climate system in light of the paleo
171 record and their implications for future warming impacts. Based on the paleo evidence on climate,
172 sea level and past CO₂ in warm intervals we assess the long-term Earth System Sensitivity (ESS)¹¹ as
173 imprinted in the paleo record in Box 2 and draw conclusions on limitations of current climate models
174 to predict the long-term (millennial) change in Earth's climate. Given the different continental
175 configuration, we do not assess regional changes for the EECO in Section 2. We limit our analysis of
176 the EECO to the issue of ESS in Box 2 based on available paleodata and published model
177 experiments where we account for the global effects of changing distribution of landmasses at that
178 time.

179

180

181 **2. Earth System responses during warm intervals**

182 **2.1. Continental ice sheets and changes in sea-level**

183 Although alpine glaciers, parts of the Greenland Ice Sheet (GIS) and some sectors of Antarctica may
184 have had less ice during the HTM than today^{12,13}, sea-level was still ~26 m (9 kyr BP) to ~2 m (5 kyr
185 BP) lower than present¹⁴ implying the presence (but ongoing melting) of remnants of the glacial
186 maximum continental ice sheets. Greenland ice retracted to its minimum extent between 5 and 3 kyr
187 BP, perhaps as a slow response to HTM warming¹⁵.

188

189 Global sea level reconstructions of 6-9 m higher than present during the LIG (and at least that for
190 MIS11.3) require a substantial retreat of at least one of the Greenland and Antarctic ice sheets, but
191 likely a significant reduction of both, relative to their current volumes¹⁶. During the LIG, the marine-
192 terminating ice sheet in southern and central Greenland retreated to terrestrial margins¹⁷. While latest
193 ice sheet and climate model simulations allow for a substantial retreat of the West Antarctic Ice
194 Sheet (WAIS) and potentially parts of East Antarctica^{18,19}, direct observational evidence is still
195 lacking. The GIS was also significantly reduced during MIS 11.3 peak warming with only a remnant
196 ice cap in northern Greenland²⁰. Cosmogenic exposure dating of subglacial materials under Summit,
197 Greenland, suggest loss of part of the GIS during some warm intervals of the Pleistocene²¹.

198

199 Ice sheets existed in Greenland and Antarctica during the MPWP, but their configuration is
200 uncertain^{18,22}. A sea-level rise of 6 m or more implies substantially less global ice than present (upper
201 limit poorly constrained) during the MPWP¹⁶, and this calls for a significant shrinkage of the GIS
202 and/or AIS. Model results suggest a significantly reduced GIS²³, while geological data show
203 evidence of West Antarctic deglaciation²⁴ and potentially also over the Wilkes subglacial basin in
204 East Antarctica²⁵.

205

206 **2.2. Sea ice**

207 Qualitative reconstructions of sea ice extent and concentrations suggest reduced sea ice extent during
208 past warm intervals both in the Arctic and around Antarctica^{26,27}. However, even during the LIG,
209 with strongly elevated summer insolation, sea ice existed in the central Arctic Ocean during summer,
210 whereas sea ice was significantly reduced along the Barents Sea continental margin and potentially
211 other shelf seas²⁸. Ice core evidence for the LIG has been interpreted as suggesting that multi-year
212 sea ice around Greenland was reduced, but winter sea ice cover was not greatly changed²⁹. In the
213 Southern Ocean, reconciliation of climate model output with warming evidence from Antarctic ice
214 cores suggests that Antarctic winter sea ice was reduced by >50 % at the onset of the LIG³⁰.
215 However, although this reconstruction is consistent with a compilation of Southern Ocean sea ice
216 proxy data, most published marine core sites are situated too far north for independent verification³⁰.

217

218 Based on limited observational evidence, generally reduced summer sea ice cover in the Arctic Basin
219 has been reconstructed during the MPWP²³ and biomarkers at the Iceland Plateau indicate seasonal
220 sea ice cover with occasional ice-free intervals. During this warm interval the East Greenland
221 Current may have transported sea ice into the Iceland Sea and/or brought cooler and fresher waters
222 favoring local sea ice formation³¹.

223

224 **2.3. Marine plankton ecosystem changes**

225 Warmer ocean temperatures influenced marine ecosystems. The HTM warming was regionally
226 diachronous and therefore did not leave a globally consistent fingerprint on the surface layer
227 plankton habitat³². There is nevertheless abundant evidence for changes in productivity, such as in
228 the North Pacific, where early Holocene warming appears to have promoted diatom blooms and
229 enhanced export production in warmer, more stratified surface waters³³.

230

231 A reorganization of ocean productivity was also documented during the LIG, with evidence for
232 increased frequency and poleward expansion of coccolithophore blooms³⁴ and higher export
233 production in the Antarctic Zone of the Southern Ocean^{35,36}. Strongly increased export production is
234 also found in the Southern Ocean during the MPWP³⁷. The impacts of these changes on higher
235 trophic levels and benthic ecosystems remain unexplored, except in the climatically sensitive
236 marginal seas. Here, circulation changes during past warm intervals led to local extinctions and
237 community reorganization in marine ecosystems³⁸, with a stronger response to LIG climate forcing
238 than in the Holocene.

239

240 Whereas HTM and LIG marine communities are good compositional and taxonomic analogs to the
241 present, MPWP marine ecosystems differ due to substantial species turnover (extinctions and
242 originations)³⁹. In some groups of plankton, such as in planktonic foraminifera, enough extant
243 species existed in the MPWP to judge general ecosystem shifts⁴⁰. Data from these groups indicate
244 that poleward displacement of mid and high-latitude marine plankton during the MPWP was stronger
245 than during the LIG, but the diversity-temperature relationship remained similar and comparable to
246 the present⁴¹. Thus, oceanic marine plankton responded to warming with range shifts rather than by
247 disruption of community structure.

248

249 **2.4. Vegetation and climate on land**

250 Extensive proxy data is available from all continents showing large changes in vegetation and shifts
251 in moisture regimes, indicating that the HTM was complex and temporally variable. For example,
252 major HTM changes in vegetation are marked by greening of the Sahara⁴², whereas in other regions,
253 including the Northern Great Plains of North America, aridity increased and expanded east into the
254 boreal biome⁴³. Many regions experienced a climate driven poleward extension of their biome
255 boundaries with similar altitudinal vegetation expansions by a few hundred meters⁴⁴. The tundra and

256 tundra-forest boundary in eastern North America, Fennoscandia and Central Siberia shifted
257 northward (by ~200 km), while forest shifted southward in eastern Canada (by ~200 km)⁴⁵.

258

259 During the LIG, tundra vegetation⁴⁶ contracted, the Sahara desert vanished⁴⁷, and boreal forest
260 vegetation⁴⁸ and Savanna⁴⁷ expanded. Temperate taxa (hazelnut, oak, elm) were found north of their
261 current distribution in southern Finland⁴⁹. In Siberia, birch and alder shrubs dominated vegetation
262 compared to herb-dominated tundra at present⁵⁰. Southwestern Africa was marked by expansion of
263 nama-karoo and fine-leaved savanna⁵¹.

264

265 In the MPWP, temperate and boreal vegetation zones shifted poleward (for example in East Asia and
266 Scandinavia⁵²). Tropical savannas and forests expanded, while deserts contracted²³.

267

268 **3. Amplification and thresholds - paleo lessons for the future**

269 Understanding potential amplification effects and nonlinear responses in climate and environmental
270 systems is essential, as they have substantial environmental and economic consequences⁵³. Many
271 potential amplification effects are outside of historical human experience, so paleo data may help
272 understand these processes.

273

274 **3.1. Carbon cycle feedbacks**

275 Radiative forcing over the last 800,000 years by the atmospheric greenhouse gases CO₂, CH₄ and
276 N₂O was often lower but rarely higher than preindustrial values⁵⁴ and also greenhouse gas rise rates
277 in past warm periods were much slower. Over the period 1987-2016, global annual greenhouse gas
278 concentrations rose on average by 19 ppm/decade for CO₂ (with generally increasing rise rates over
279 this 30 yr interval), by 57 ppb/decade for CH₄ and by 8 ppb/decade for N₂O (all data from

280 <https://www.esrl.noaa.gov/gmd/>), while during the last deglaciation, high-resolution ice core data
281 (WAIS Divide and Taylor Glacier, Antarctica) reveal maximum natural rise rates up to a factor of 10
282 slower (~ 2.3 ppm/decade for CO_2 , ~ 21 ppb/decade for CH_4 , and 0.9 ppb/decade for N_2O ⁵⁴⁻⁵⁶).
283 While these natural variations in greenhouse gas forcing represent a substantial contribution to
284 glacial-interglacial climate variations, the climate mechanisms that drive changes in the carbon cycle
285 and the associated climate feedbacks remain a matter of debate.

286

287 Analyses of last millennium CO_2 and northern hemisphere temperature variability suggest a
288 warming-driven net CO_2 release from the land biosphere ($2 - 20$ ppm / $^\circ\text{C}$) on decadal-to-centennial
289 scales^{57,58}. During short-term warming events in preindustrial times (when CO_2 was rather constant),
290 net release of land carbon due to enhanced respiration of soil and biomass appears to compensate
291 plant growth associated with fertilization effects by higher temperatures. A similar short-term
292 response can be expected for future regional warming.

293

294 Peat accumulation rate is positively correlated with summer temperature⁵⁹, but is a relatively slow
295 process. Peat reservoirs have gradually increased over the Holocene, resulting in long-term
296 sequestration of carbon⁶⁰. HTM rates for net carbon uptake by northern peatlands were clearly
297 higher than those for the cooler late Holocene^{61,62} as a result of rapid peatland inception and peat
298 growth during times of ice sheet retreat and strong seasonality.

299

300 While peatlands were present during the LIG⁶³, the preserved record is fragmentary so the magnitude
301 of LIG peat carbon storages is not well constrained. During the Pliocene (and MIS 11.3), peats were
302 likely abundant but there are only a few dated peat deposits of this age (for instance German and
303 Polish lignite⁶⁴). Boreal-type forested peatlands with thick peat accumulations may have
304 accumulated over $>50,000$ years in response to warmer climates during the Pliocene⁶⁵.

305

306 Based on these paleo-environmental analogs, peatlands will likely expand in a 2°C warmer world on
307 centennial to millennial time scales, although the size of this sink is difficult to estimate based on the
308 paleo record alone and the net carbon source or sink may depend on the rate of warming and
309 moisture conditions. If warming is fast (decadal-to-centennial) carbon may be released via
310 respiration faster than it can accumulate via peat growth. If warming is slower (centennial-to-
311 millennial) continued peat growth may outstrip respiratory losses, yielding a net carbon sink.

312

313 Widespread permafrost thaw and enhanced fire frequency and/or severity could counteract carbon
314 sink effects of long-term peat growth⁶⁶. Today, about 1330-1580 gigatons of carbon (GtC) are stored
315 in perennial frozen ground, of which ~1000 GtC (more than the modern atmospheric carbon
316 inventory) are located in the upper 0-3 m of soil. This frozen carbon is susceptible to a thawing of
317 the upper permafrost layer under future warming⁶⁷ and risks of the related carbon release can be
318 assessed in ice core gas records. Although detailed data are limited, the observed variation of CO₂
319 and CH₄ in ice core records suggests that the risk of a sustained release of permafrost carbon is small
320 if warming can be limited to the modest high-latitude warming encountered during past interglacial
321 periods⁶⁸. Apart from short-lived positive excursions observed at the onset of many interglacials,
322 atmospheric CH₄ and CO₂ concentrations in the ice record^{69,70} were not significantly elevated in past
323 interglacials, in which the Arctic was significantly warmer than during preindustrial times⁵⁰.
324 Accordingly, the additional CO₂ and CH₄ releases at the onset of interglacials (if they were related to
325 permafrost warming⁷¹), were not sufficient or long enough to drive a long-term “runaway”
326 greenhouse-warming that outpaces negative feedback effects. If future warming is much greater than
327 that observed for past interglacials, release of carbon from permafrost remains a serious concern that
328 cannot be assessed based on the paleo evidence presented here.

329

330 A release of CH₄ from marine hydrates during climate warming as suggested from marine sediment
331 records⁷² cannot be confirmed. Isotopic analysis of CH₄ preserved in ice cores suggests that gas
332 hydrates did not contribute substantially to variations in atmospheric CH₄ during rapid warming
333 events in the glacial and deglacial^{73,74}. This may suggest that long-term CH₄ releases are also
334 unlikely to occur in future warming, as long as the magnitudes and rates of warming are limited to
335 the range observed in the geologic record of past warm intervals.

336

337 Based on the evidence summarized above, the risk of future massive terrestrial CH₄ or CO₂ releases
338 that may lead to a runaway greenhouse gas effect under modest warming scenarios of RCP2.6
339 appears to be limited. However, the amount of carbon released from permafrost as CO₂ may amount
340 to up to 100 GtC⁷⁵ and has to be accounted for when implementing policies for future allowable
341 anthropogenic carbon emissions. We cannot rule out net release of land carbon if future warming is
342 significantly faster or more extensive than observed during past interglacials. Furthermore, past
343 increases in CO₂ were mostly driven by changes in the physical and biological pumps in the ocean
344 and - on long time scales - through interactions between ocean and sediments and the weathering
345 cycle. The reconstruction of ocean carbon reservoirs during past warm episodes remains a challenge,
346 and the risk of significant reductions of ocean CO₂ uptake or disturbances in the AMOC in the future
347 with feedbacks on the carbon cycle are not well constrained.

348

349 **3.2. Thresholds for ice sheet melting**

350 Models of the GIS suggest extensive and effectively irreversible deglaciation above a certain
351 temperature threshold, but the threshold is model dependent^{76,77}. Marine records of southern GIS
352 sediment discharge and extent suggest the GIS was substantially smaller than present during three
353 out of the last five interglacials⁷⁸ with near complete deglaciation of southern Greenland occurring

354 during MIS 11.3^{20,79}. This suggests that the threshold for southern GIS deglaciation is already passed
355 for the polar temperature amplification signal associated with a persistent global warming by 2°C,
356 i.e., within the range of the Paris Agreement (see Figure 2). Concentrations of cosmogenic
357 radionuclides in bedrock at the base of Summit Greenland have been interpreted to suggest multiple
358 periods of exposure of the western GIS during the last million years²¹. In contrast, the age of the
359 basal ice at Summit Greenland suggests a persistent northern Greenland ice dome at least for the last
360 million years⁷⁹. Vice versa, the southern Greenland ice dome existed during the LIG but melted at
361 some time before 400 kyr BP⁷⁹. Marine records suggest the persistence of ice in eastern Greenland
362 for at least the last 3 million years⁸⁰, which would imply different temperature thresholds for
363 deglaciation of different portions of the GIS.

364

365 The WAIS was appreciated by AR5² and previous assessments as possessing an unstable marine-
366 based geometry, but the thresholds at which strong positive feedbacks would be triggered were
367 unknown, and models failed to reproduce past sea-level contributions². Several lines of observational
368 evidence suggest episodes of major retreat of marine WAIS sectors^{81,82}. Marine-based sectors of the
369 East Antarctic Ice Sheet (EAIS) are now known to be at similar risk of collapse as those of the
370 WAIS^{25,83}. The main indicator for a substantial AIS contribution to global sea-level rise in past
371 interglacials remains the sea-level proxy record¹⁶. The survival of parts of the GIS in the LIG
372 requires a significant retreat of at least part of the AIS. Pliocene reconstructions of sea-level
373 highstands require a substantial contribution of both the WAIS and EAIS but are subject to major
374 uncertainties¹⁶.

375

376 Since AR5, model simulations are now more consistent with prior theory and sea-level
377 constraints^{18,19,84}. Ice-sheet model simulations suggest that marine ice-sheet collapse can be triggered
378 in sectors of the EAIS and WAIS for a local sub-surface ocean warming of +1-4°C^{18,19,84}. However,

379 thresholds for Antarctic marine ice-sheet collapse vary considerably between models and their
380 parameterizations of ice-shelf mass balance and ice dynamics^{18,19,84}. While some models predict that
381 Antarctica is now more sensitive than the literature assessed in AR5², the current geological
382 record^{85,86} and modeling evidence are not sufficient to rule out or confirm tipping points for
383 individual Antarctic sectors within the 1.5-2°C global warming range.

384

385 Of special societal relevance is also the rate of sea level increase. Sea-level rise has accelerated over
386 the last century from 1.2 ± 0.2 mm/yr between 1901 and 1990 (largely due to thermosteric effects) to
387 3.0 ± 0.7 mm/yr over the last two decades as net melting of glaciers and ice sheets has increased⁸⁷.
388 Records of paleo sea level rise rates expand our view into times when the melting response of the
389 GIS and AIS may have been much larger than today. Sea-level changes within the LIG were likely
390 between 3 and 7 mm/yr (1000-year average), with a 5% probability of >11 mm/yr⁸⁸. For example,
391 exposed fossil coral reefs from Western Australia⁸⁹ suggest that, after a period of eustatic sea-level
392 stability (127 to 120 kyr BP), sea-level rose quite quickly from 2.5 to nearly 8.5 meters in less than 1
393 kyr (i.e., 6 mm/yr). Indirect evidence for sea level rise from Red Sea isotopic measurements within
394 the LIG allows rise rates as high as 16 mm/yr⁹⁰. All of these estimates are uncertain for both level
395 and chronology and are subject to regional isostatic effects but multimeter-scale sea level oscillations
396 within the Last Interglacial cannot be excluded¹⁶. They highlight the possibility that future sea level
397 rise may be significantly faster than historical experience as also suggested in recent satellite
398 altimeter data⁹¹.

399

400 **3.3 Response of land ecosystems**

401 The paleo record suggests sensitivity of forest ecosystems, specifically in ecotone positions, to
402 moderate warming (1-2°C) at the decadal-to-centennial scale^{92,93}, with tipping points reached in

403 regions where moisture availability will go below critical ecophysiology levels for trees⁹⁴. At higher
404 latitudes and in mountain ranges increased temperatures will promote forest expansion into tundra⁹⁵.
405 Such northward shifts of boreal ecosystems will be counterbalanced by forest die-back in areas
406 where increased drought will instead favor open woodlands or steppe⁹⁶.

407 Evidence from the HTM suggests that cool-temperate and warm-temperate (or subtropical) forests
408 may collapse in response to climate warming of 1-2°C, if moisture thresholds are reached⁹⁷, and
409 flammable, drought-adapted vegetation will rapidly replace late-successional evergreen vegetation in
410 Mediterranean areas⁹⁸.

411 Substantial and irreversible changes are also expected for tropical forests, with large tree mortality
412 occurring where peripheral areas of rainforest will turn into self-stabilizing, fire-dominated
413 savanna⁹⁹. The green Sahara-desert transition that occurred at the end of the African Humid Period¹⁰⁰
414 implies that a warmer climate may cross the threshold to open, fire-maintained savanna and
415 grassland ecosystems. Such rainfall thresholds are more easily reached with deforestation, and imply
416 increased flammability, reduced tree reestablishment, and rapid runaway change toward treeless
417 landscapes⁹⁹. Opposed to carbon reduction in tropical forests is fuel buildup in subtropical regions
418 under increasing rainfall scenarios², implying that critical transitions will be spatially complex,
419 depending on the position along moisture gradients^{96,99}.

420

421 **4. Conclusions**

422 Past warmer worlds were caused by different forcings, which limits the applicability of our findings
423 to future climate change. Nevertheless we can conclude that even for a 2°C (and potentially 1.5°C)
424 global warming - as targeted in the Paris Agreement¹⁰¹ - significant impacts on the Earth System are
425 to be expected. Terrestrial and aquatic ecosystems will spatially reorganize to adapt to warmer
426 conditions as they did in the past (e.g. HTM, LIG). However, human interferences other than climate

427 change, such as pollution, land-use, hunting/fishing and overconsumption, appear to have a much
428 larger influence on species extinction and diversity loss¹⁰² than climate warming.

429

430 The risk of amplification such as runaway greenhouse gas feedbacks appears - based on the paleo
431 record - to be small under the modest warming of RCP2.6. From this perspective, staying in a range
432 of warming experienced during the past interglacial periods is appropriate to limit risks and impacts
433 of climate change¹⁰¹. Although these findings support the 2°C global warming target of the Paris
434 Agreement, more rapid or extensive warming in scenarios such as RCP8.5 would be outside the
435 experience provided by past interglacial periods reviewed here. Such a pathway into conditions
436 without well-studied precedent would be inherently risky for human society and sustainable
437 development.

438

439 However, even a warming of 1.5-2°C is sufficient to trigger substantial long-term melting of ice in
440 Greenland and Antarctica and sea-level rise that may last for millennia. For instance, the LIG and
441 Marine Isotopic Stage 11.3 were characterized by prolonged warmer-than-present-day conditions in
442 high latitudes, leading to melting of parts of Greenland and Antarctica. This ice sheet melt
443 contributed to a more than 6 m sea-level rise compared to preindustrial¹⁶ on time scales of millennia
444 and caused significantly higher rates of sea level rise compared to those of the last decades.

445

446 Comparison of paleo data and model estimates of long-term (multi-centennial to millennial)
447 warming in response to CO₂ (see Box 2) suggests that models may underestimate observed polar
448 amplification and global mean temperatures of past warm climate states by up to a factor of two on
449 millennial time scales. Despite the significant uncertainties in climate and CO₂ reconstructions for
450 many of the past warm intervals, this underestimation is likely because the models lack or potentially
451 simplify key processes such as interactive ice sheets, cloud processes and biogeochemical feedbacks

452 that impact long-term Earth System Sensitivity. Again, this implies that long-term sea-level rise and
453 regional and global warming may be significantly more severe than state-of-the-art climate models
454 project.

455

456 Knowledge gaps remain for all periods and all processes, including the reconstructions of past CO₂
457 concentration, air and ocean temperatures, and ecosystem responses, but also for extreme events, and
458 changes in variability (see supplementary text). It will be important to increase our understanding of
459 cloud and aerosol physics, to improve the representation of cryosphere-climate and biogeochemical
460 Earth System feedbacks in climate models used for long-term projections, and to refine paleo
461 reconstructions as a key constraint for modeled climate sensitivity. In spite of existing uncertainties,
462 our review of observed paleo data and models associated with known warmer climates of the past
463 underscores the importance of limiting the rate and extent of warming to that of past interglacial
464 warm intervals to reduce impacts such as food and ecosystem disruptions, loss of ice, and the
465 inundation of vast coastal areas where much of the world's population and infrastructure resides.

466

467

468 **Data availability:** All data and model results used in this review paper are from published literature
469 (see references provided in the main text and the supplementary tables).

470

472 Box 1 - Global and regional temperature changes in past warm intervals

473 The HTM surface warming relative to preindustrial conditions was on average $<1^{\circ}\text{C}^{107}$ and is mostly
474 expressed in northern-hemisphere proxies sensitive to the warm season. Although some regional
475 studies define the HTM narrowly as older than 8.2 kyr BP, here we take a broad definition of ~11-5
476 kyr BP. We exclude the 8.2 kyr cold event in the North Atlantic region, which is thought to have
477 been caused by a freshwater disturbance¹¹¹ in the North Atlantic and subsequent weakening of the
478 Atlantic Meridional Overturning Circulation (AMOC) and is likely not representative for a global
479 warming response expected for the end of this century.

480

481 The HTM was a complex series of events in which warming occurred while ice cover and sea-level
482 had not reached postglacial equilibrium and continental ice sheets in North America and Scandinavia
483 were still retreating. This complexity of residual ice cover makes it likely that HTM warming was
484 regional, rather than global, and its peak warmth, thus, had different timing in different locations¹⁰.
485 Ice core data show that radiative forcing due to greenhouse gases during the HTM was slightly lower
486 than preindustrial values¹¹². Compared to preindustrial conditions, the HTM orbital configuration
487 featured greatly enhanced summer insolation in high northern latitudes and reduced winter insolation
488 below the Arctic Circle. On an annual average, HTM insolation was higher at high latitudes, but
489 slightly lower in the tropics¹¹³.

490

491 Global-average and high northern-latitude surface temperatures during the HTM appeared to be
492 warmer (at least during summer) than today, while low-latitude climates were slightly cooler¹⁰⁷,
493 consistent with the annual orbital forcing. Although substantial warming was found in the North
494 Atlantic marine sector between 11 and 5 kyr BP¹⁰⁷, recent reconstructions of climate in the mid
495 northern latitudes of continental North America and Europe based on pollen data were characterized

496 by a cooler HTM with a slow warming as the continental ice sheets retreated¹¹⁴. In contrast,
497 Greenland mean annual atmospheric temperature (after correction for ice sheet altitude changes)
498 peaked earlier, between 10 and 6 kyr BP^{115,116} and was warmer than preindustrial by 1 to 4°C¹¹⁷,
499 while the Nordic seas were only warmer by ~0.5 to 1°C¹¹⁸. The North Pacific Ocean also displayed
500 an early Holocene warming and in most areas a mid-Holocene cooling relative to today, but warming
501 in the North Pacific and East Asia occurred earlier than in the Atlantic sector. Peak warming in the
502 Bering Sea (1-2°C), the western subpolar North Pacific (1-2°C), and the Sea of Okhotsk (2-3°C)
503 occurred between 9 and 11 kyr BP with a possible second warm event between 7 and 5 kyr BP in the
504 Sea of Okhotsk¹¹⁹. In the subpolar NE Pacific off Alaska, peak warming (~1°C above modern, ~3-
505 4°C above mid-Holocene) occurred near 11 kyr BP³³, and in the Pacific off Northern California, peak
506 warmth occurred in two events near 11 kyr BP and again near 10 kyr BP¹²⁰.

507

508 In summary, the HTM is a complex regional series of events, best expressed at higher northern
509 latitudes, earliest in the north Pacific marine sector, substantially delayed on land areas influenced by
510 residual ice, and slightly delayed in the North Atlantic and Greenland sector relative to North Pacific
511 and East Asian locations. Although its regional expression makes it difficult to draw a unique global
512 picture, it nevertheless serves as a well-dated and data-rich example of regionally warmer conditions,
513 and is instructive for the impact of warming in these environments. Its complexity also suggests
514 caution in over-interpreting older intervals as being representative of global climate states, because
515 less data are available and chronological constraints are weaker.

516

517 The LIG global average sea-surface temperature (SST) was likely 0.5-1°C warmer than preindustrial
518 at least seasonally^{109,121-123} (Table S2). Here we use the value of 0.5±0.3°C as best estimate of the
519 global SST warming at 125 kyr BP¹⁰⁹, a time period when also the northern hemisphere reached a
520 stable warm plateau, although global SST peak warmth may have been somewhat earlier¹²³. Using a

521 general scaling of global SST to global surface temperature¹⁰³ of 1.6 this implies that global surface
522 temperature was likely ~0.8 (maximum 1.3°C) warmer than preindustrial¹²⁴ and followed a strong
523 orbitally-induced maximum in Northern Hemisphere (NH) summer insolation after a rise in
524 atmospheric CO₂ concentrations from low ice age values to levels only slightly higher than
525 preindustrial (latest data compiled by ref.⁶⁹). Similar to the HTM, significant spatial and temporal
526 differences in the expression of the warming exist; extratropical regions showed more pronounced
527 warming, while tropical regions showed only little warming¹²⁴ or even a slight cooling¹⁰⁹ in line with
528 modeling results¹¹⁰. Temperature reconstructions show a pronounced polar amplification signal in
529 the Arctic during the LIG (see Figure 2), with northern high-latitude oceans warming by >1 to 4°C
530 and surface air temperatures by >3 to 11°C^{46,125,126} relative to preindustrial. As with the HTM, the
531 LIG warming caused by higher northern summer insolation appears to be more representative for
532 regional high-latitude warming than for low latitude warming in the future.

533

534 The MPWP was subject to intermittently elevated CO₂ (potentially up to 450 ppm) compared to the
535 HTM and the LIG⁹. The CO₂ concentration at that time was most similar to the RCP2.6 scenario, and
536 a factor of three to four less than concentrations expected by 2100 CE for the RCP8.5 scenario.
537 Climate models simulate an increase in tropical temperatures by 1.0 to 3.1°C (for RCP2.6 CO₂
538 forcing of 405 ppmv²), generally in line with MPWP proxy reconstructions at low latitudes¹²⁷.
539 Strong polar amplification is observed for the MPWP. For example, proxy data from the North
540 Atlantic and northeastern Russian Arctic indicate a rise of surface air temperatures by 8°C¹²⁸ during
541 the MPWP and even higher in the early Pliocene¹²⁹. These regional temperature changes are similar
542 to projected warming at 2100 AD for the RCP8.5 scenario, in spite of the much lower CO₂ rise
543 during the MPWP, and suggest that current models may underestimate the warming response in the
544 Arctic¹³⁰ to increased CO₂ concentrations.

545 **Box 2 - Constraining climate sensitivity from past warm periods**

546 Fundamental to projecting future warming and impacts is the climate sensitivity to radiative
547 greenhouse forcing, i.e., the global average surface air temperature equilibrium response to a
548 doubling of CO₂. The multi-model mean equilibrium climate sensitivity of the Coupled Model
549 Intercomparison Project Phase 5 (CMIP5) is 3.2°C ± 1.3°C². These models include most of the “fast”
550 feedback processes that result in the “Charney Sensitivity” (CS) but lack some other important
551 processes. In particular, many models do not include some of the real-world “slow” feedback
552 processes relevant for the Earth’s total warming response, such as long-term changes in ice sheets,
553 sea-level, vegetation, or biogeochemical feedbacks that may amplify or reduce the amount of non-
554 CO₂ greenhouse gases in the atmosphere. Furthermore, our understanding of some atmospheric
555 processes under warmer boundary conditions, such as those associated with cloud physics and
556 aerosols, is still limited. The climate models therefore cannot be expected to include realistic long-
557 term feedbacks, which leads to increased uncertainty in climate sensitivity. The long-term climate
558 sensitivity including all these processes is called the Earth System Sensitivity (ESS).

559

560 Direct correlation of Pleistocene CO₂ and temperature reconstructions suggest ESS values of 3-5.6
561 °C^{131,132}. These estimates are based on climate change during glacial cycles. They are therefore
562 indicative of sensitivities associated with large varying glacial ice sheets, and may, therefore, not be
563 appropriate for future warming^{11,133}. When corrected for land-ice albedo feedbacks, vegetation, and
564 aerosols, climate sensitivities implied by these geological estimates may have been 30-40% lower¹³⁴.

565

566 We revisit this issue, comparing our paleoclimate data synthesis from episodes warmer than today
567 with published long transient model simulations 10,000 years into the future³ based on a range of
568 CO₂ emission scenarios with two fully coupled climate-carbon-cycle Earth System Models of

569 Intermediate Complexity (UVic and Bern3D-LPX)³. Both models include fully coupled ocean,
570 atmosphere, sea ice, dynamic vegetation and ocean sediment models with offline ice-sheet models³.
571 Furthermore, we include a published series of equilibrium climate simulations with four dynamic
572 atmosphere-ocean general circulation models, with primitive equation atmospheres (HadCM3L,
573 CCSM3, ECHAM5/MPI-OM, GISS ModelE-R) and one model of intermediate complexity (UVic)
574 under early Eocene boundary conditions^{10,135}.

575

576 In Figure B1 we compare global surface air temperature anomalies (relative to preindustrial) to CO₂
577 (Figure B1a), eustatic sea-level rise relative to CO₂ (Figure B1b), and sea-level rise relative to
578 surface air temperature anomalies (Figure B1c). Paleo data represent the three episodes (HTM, LIG,
579 MPWP) discussed earlier, however, HTM sea-level data are excluded as sea-level is still strongly
580 increasing by deglacial ice sheet melt at that time. To expand the range of climate boundary
581 conditions, we also include data from the EECO (~53-51 Myr BP) when CO₂ was around 1400 ppm
582 and within a possible range of ~900 to 2500 ppm¹³⁶. EECO conditions include changes in the
583 configuration of the continents, land surface topography and albedo changes for loss of continental
584 ice sheets. To separate fast and slow feedbacks, we show EECO model ensemble surface air
585 temperature (SAT) anomalies including all boundary conditions (blue triangles) and values
586 extracting the component related to modified land-surface albedo due to the removal of ice sheets
587 (green squares) in Figure B1a. Model simulations suggest that the loss of ice at the EECO accounts
588 for 0.2 to 1.2°C¹³⁷.

589

590 Transient model projections of future warming in response to CO₂ (Figure B1a, black diamonds; see
591 supplementary tables) indicate model ESS of ~3°C, a factor of 2 lower than inferred from the paleo
592 data for the EECO (red squares, see also supplementary tables S1 and S2). EECO model ensemble
593 estimates of warming (after removing the effect of changing surface albedo, green squares) are

594 essentially identical to the transient future runs. The EECO simulations that include the effect of
595 surface albedo (blue triangles) are closer to the paleo reconstructions, but still underestimate the
596 inferred EECO warming at high CO₂, so including interactive land ice as a feedback is essential to
597 reproduce the ESS derived from paleo evidence. This finding echoes previous concern that models
598 built to reproduce present-day climate conditions may be insufficiently sensitive to long-term
599 change⁷.

600

601 For modest CO₂ rises associated with the MPWP, modelled sea level changes are generally
602 consistent with paleo data, but for larger CO₂ rises, the models underestimate the largest sea-level
603 rise such as those reconstructed with larger uncertainties for the EECO (Figure B1b). The UVic
604 model appears to have reasonable sensitivity for the relationship between sea-level rise and warming
605 (Figure B1c, note uncertainty of Eustatic Sea Level (ESL) rise for MPWP). The underestimation of
606 observed past sea level rises by the models is therefore likely due to an underestimation of warming.
607 This misfit becomes important because the rate of sea-level rise in the models is dependent on the
608 extent of warming (Figure B1d). If the models were more sensitive to radiative forcing in particular
609 on long time scales (by up to a factor of two, if they are supposed to fit the paleoclimate data), this
610 would imply a factor of two to three increase in the rate of sea level rise.

611

612 While simulations of climates similar to present day conditions, such as the HTM, agree reasonably
613 well with paleo records, the differences become more substantial for climates that were significantly
614 warmer (MPWP, EECO) but which are also subject to larger uncertainties in temperature and CO₂
615 reconstructions. Climate models underestimate polar amplification (Section 2.1) in the Arctic as well
616 as global mean temperatures and therefore also underestimate the extent and rate of sea-level rise.
617 Hence, climate models are still missing or misrepresenting key processes needed to simulate the
618 dynamics of warmer climates on long time scales. Potential caveats include misrepresentations of

619 cloud physics and aerosols^{138,139}, ocean and atmosphere circulation changes and insufficient
620 representations of ice sheet and carbon cycle feedbacks.

621

622 Although state-of-the-art climate models plausibly have correct sensitivity for small magnitude and
623 near-term projections (such as RCP2.6 at year 2100), they can be questioned to provide reliable
624 projections for large magnitude changes (such as RCP8.5) or long-term climate change (beyond
625 2100), when Earth System feedbacks become important, and for which the models likely
626 underestimate sensitivity.

627

628

629

630

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1122 **Additional information:**

1123 Supplementary information is available in the [online version of the paper](#). Reprints and
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1125 Correspondence and requests for materials should be addressed to H.F.

1126

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1130 **Figure Captions**

1131 **Figure 1 – Changes in global climate and radiative forcing over the last 4 Myr: (a)** Changes in
1132 Global Surface Air Temperature (GSAT: Snyder, 2016¹⁰³ (blue line) with 2.5% and 97.5%
1133 confidence intervals (light blue shading), Hansen et al., 2013¹⁰⁴ (grey line)) reconstructed from proxy
1134 records (left y-axis) and changes in atmospheric CO₂ (right x-axis) from ice core air bubbles (red
1135 line: Bereiter et al., 2015⁶⁹) and marine CO₂ proxies (light orange dots: Bartoli et al., 2011¹⁰⁵, dark
1136 orange dots: Hönlisch et al., 2009¹⁰⁶, green dots: Martinez-Boti et al., 2015⁹) over the last 4 Myr. **(b)**
1137 same as in (a) for the last 800,000 years. **(c)** same as in (a-b) for the last 160,000 years. **(d)** GSAT
1138 reconstructed from proxy records by Marcott et al. (2013)¹⁰⁷ over the Holocene and the PAGES2k
1139 Consortium (2017)¹⁰⁸ together with changes in atmospheric CO₂ from ice core air bubbles (red
1140 line⁶⁹). **(e)** Measured GSAT over the last 150 years (HADCRUT4¹) and reconstructed from proxy
1141 records over the last 2000 years¹⁰⁸ together with changes in atmospheric CO₂ from ice core air
1142 bubbles (red line⁶⁹) and globally averaged atmospheric observations (data from
1143 <https://www.esrl.noaa.gov/gmd/>). Note that temperatures in **(d-e)** are given as anomalies relative to
1144 the preindustrial mean, where preindustrial is defined as the time interval 1850-1900. Proxy data in
1145 **(a-c)** are not available in sufficiently high resolution to unambiguously quantify a mean for this short
1146 time interval. Accordingly, (a-c) are given relative to an extended preindustrial reference time
1147 interval of the last 1000 years. The horizontal yellow bars indicate the 1.5-2°C warming target
1148 relative to preindustrial of the Paris agreement.

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1151 **Figure 2 – Model-data comparison of climate changes in the future and during the LIG: (a)**
1152 RCP2.6 model ensemble (CCSM4) results of Mean Annual Surface Temperature (MAT) anomalies
1153 for the time interval 2080–2099 relative to our preindustrial reference interval 1850-1900; **(b)**
1154 Observed Last Interglacial (125 kyr BP) annual Sea Surface Temperature (SST) anomalies¹⁰⁹ relative
1155 to its reference period 1870-1889 (dots) overlain on top of CCSM3 MAT anomalies for the 125 kyr

1156 BP time window relative to 1850¹¹⁰. White areas in polar areas in panels (a) and (b) represent the
1157 modeled sea ice extent.

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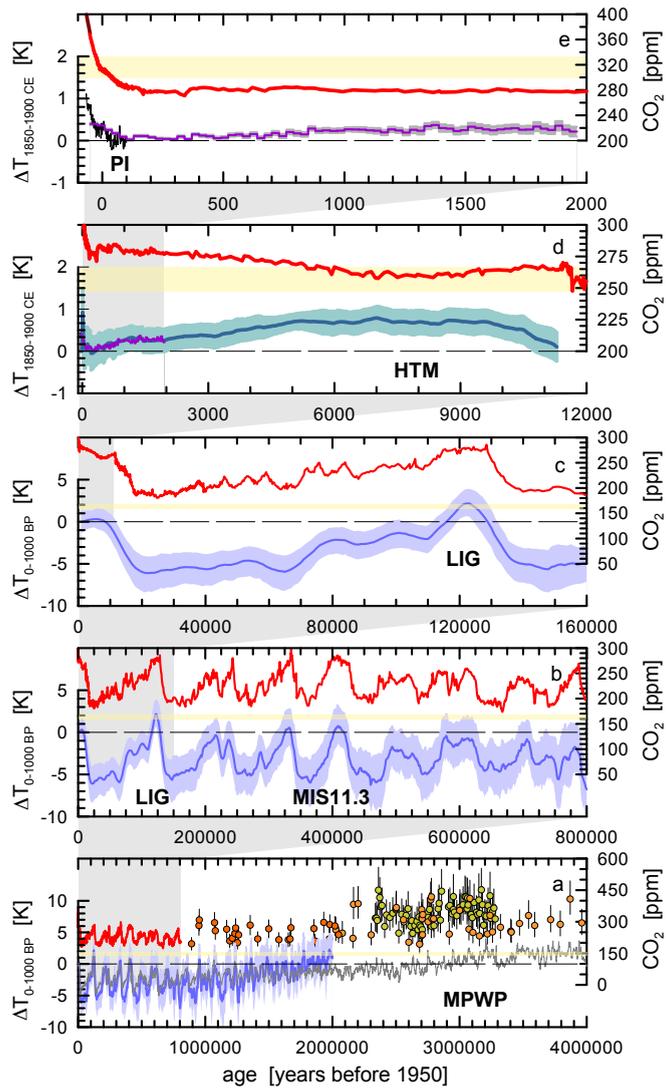
1160 **Figure 3 - Impacts and responses of components of the Earth System:** The figure summarizes the
1161 statements in sections 2 and 3 in extremely condensed form (all statements relative to preindustrial).
1162 Responses where other reasons prohibit a robust statement are given in *italic*. Additional evidence
1163 that is either not applicable for the future warming or where evidence is not sufficient to draw robust
1164 conclusions is summarized in the supplementary text. Note that significant spatial variability and
1165 uncertainty exist in the assessment of each component and, therefore, this figure should not be
1166 referred to without reading the text in detail.

1167

1168 **Figure B1 - Temperature and sea-level response to CO₂ forcing:** (a) Annual and global mean
1169 surface air temperature anomalies (relative to preindustrial) as a function of atmospheric CO₂
1170 concentrations (see supplementary table S1 and S2), (b) eustatic sea-level rise relative to CO₂ levels
1171 (see supplementary table S8 and S10), (c) eustatic sea-level rise relative to surface air temperature
1172 anomalies, and (d) peak rates of eustatic sea-level rise as a function of coeval surface air temperature
1173 anomalies. Black diamonds show simulations of future scenarios by two models of intermediate
1174 complexity³, blue triangles are model ensemble mean equilibrium simulations under EECO
1175 boundary conditions^{10,135}, green squares show EECO simulation responses due to changes in CO₂
1176 concentrations alone, estimated by removing the effects associated with the planetary surface
1177 boundary conditions relative to preindustrial control, and red squares are paleo reconstructions
1178 (supplementary tables S8-S11). Atmospheric CO₂, surface air temperatures and eustatic sea-level
1179 values are averaged over 10,000-12,000 CE in the future simulations (black diamonds, a-c). Peak
1180 rates of simulated sea-level rise occur earlier, between the 23rd and 26th centuries CE, and are
1181 compared to coeval transient model temperatures. The red arrows in b and c indicate minimum

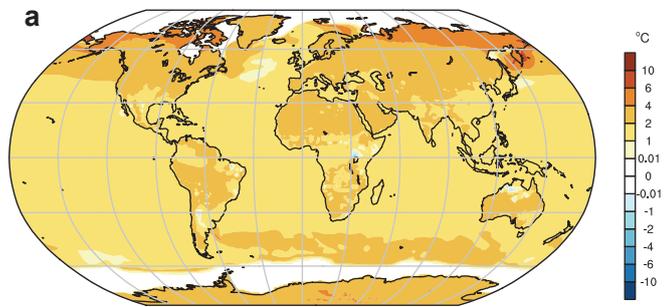
1182 uncertainties. (d). For eustatic sea-levels (b, c) EECO values include melting of the full modern
1183 inventory of ice, plus steric effects (see supplementary Table S10 for details). Changes in ocean
1184 basin shape are excluded from the EECO ESL calculation.

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RCP2.6 annual model SAT anomalies 2080-2099
relative to preindustrial



LIG (125 kyr BP) annual model SAT anomalies and
SST reconstructions relative to preindustrial

